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YES, VIRGINIA: THE PRESIDENT CAN DEPLOY FEDERAL TROOPS TO PREVENT THE LOSS OF A MAJOR AMERICAN CITY FROM A DEVASTATING NATURAL CATASTROPH

Michael Greenberger

I. INTRODUCTION

As historians look back on President George W. Bush's eight years in office, the failure of his Administration to respond decisively and effectively to Hurricane Katrina will doubtless be viewed as the event that began the unraveling of the nation's confidence in his leadership. Central to the criticism of the federal government in this regard was its indecisiveness about deploying its substantial military assets to rescue and protect Gulf Coast citizens overwhelmed by one of the country's worst natural disasters. This indecisiveness was the result of the paralyzing effect of a single Reconstruction era federal statute: the Posse Comitatus Act ("PCA"). That statute provides that "except in cases and under circumstances expressly authorized by the Constitution or Act of Congress," the armed forces or federalized National Guard may not be used for domestic law enforcement. While the United States faced the emasculation of, inter alia, one of the South's largest and most prominent cities, federal lawyers pondered for days whether there were constitutional or statutory exceptions to the Posse Comitatus Act that would allow the introduction of federal troops.

On October 17, 2006, all doubt about that question was resolved. On that day, the President signed into law the John Warner National Defense

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Authorization Act for Fiscal Year 2007 ("DAA of 2007").\(^7\) A key provision within this legislation, enacted in response to the Bush Administration’s uncertainty about military deployments during Katrina, amends the Insurrection Act to allow the President unilaterally, i.e., without the consent of the states involved, to deploy federal troops to respond to natural disasters and other major domestic emergencies.\(^8\) That provision became law over the bi-partisan objection of all fifty state governors who claimed it tramples upon state sovereignty.\(^9\)

Rather than diminishing the authority of the states, however, the DAA of 2007 merely codifies and clarifies the federal power that existed prior to its enactment. Indeed, the federal government’s ability to decide unilaterally to use the armed forces in times of devastating natural disasters was well established before the law’s passage. Unfortunately, however, the use of this federal power has been consistently misunderstood,\(^10\) particularly the point at which the power comes into effect. Thus, it is important to stress that this federal authority is only properly triggered when a catastrophic event has overwhelmed state and local governments. The federal government, therefore, has repeatedly acknowledged that, "[m]ost [natural catastrophes should be] handled on a daily basis at the local level,"\(^11\) because, in most instances, state and local governments are fully capable of responding.

The fact that natural disaster response should usually be under state or local control is a key premise of the federal government’s National Response Plan ("NRP"), which outlines inter-governmental coordination and planning during “Incidents of National Significance”—major domestic incidents, the responses to which are often beyond the capabilities of states and localities alone.\(^12\) However, as is shown in detail below, in those rare instances when states and localities are unable to respond, Congress may

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\(^10\) See infra notes 97-100 and accompanying text.


properly exercise its constitutional powers to authorize the federal government to take charge—including sanctioning the deployment of federal troops without state or local consent.

II. THE POSSE COMITATUS ACT

"[E]xcept in cases and under circumstances expressly authorized by the Constitution or Act of Congress," the PCA prohibits use of the military to enforce domestic law.\(^\text{13}\) Enacted in 1878, the PCA was a response to the imposition of martial law upon the former Confederate States to maintain civil order.\(^\text{14}\) Congress was concerned that this use of the U.S. military was causing that institution to become increasingly politicized and to stray from its traditional non-civilian function.\(^\text{15}\) However, Congress also clearly recognized that, by virtue of constitutional authority or statutory authorization, exceptions to the general bar would be required in extraordinary circumstances to preserve law and order.

In the context of this discussion, it is important to understand the distinctions between the active armed forces and the National Guard. Members of the armed forces are in the active military service of the Army, Navy, Air Force, Marine Corps, or Coast Guard.\(^\text{16}\) With the exception of the Coast Guard, members of the armed forces are constrained by the PCA.\(^\text{17}\) As Commander in Chief, the U.S. Constitution grants the President control of the operation of the armed forces.\(^\text{18}\)

Members of the National Guard are simultaneously members of their respective state militias and the Army Federal Reserve.\(^\text{19}\) The National Guard traditionally operates under the control of the state and territorial governors.\(^\text{20}\) In this state capacity, members of the National Guard are not constrained by the PCA and may perform civilian law enforcement functions.\(^\text{21}\) However, National Guard personnel may be called into federal service (or "federalized") by the President.\(^\text{22}\) While under federal status (and thus subject to the PCA), National Guard members may perform


\(^{15}\) Id.


\(^{18}\) U.S. CONST. art. II, § 2, cl. 1.


\(^{20}\) Id. at CRS-7.

\(^{21}\) Id. National Guard personnel may also have "Title 32" (32 U.S.C. § 502(f)) status, meaning they are still under control of their governor but receive federal pay and benefits. Bowman et al., supra note 19, at CRS-8. National Guard personnel with Title 32 status are not constrained by the PCA. Id.; see also U.S. Northern Command, The Posse Comitatus Act, http://www.northcom.mil/about_us/posse_comitatus.htm (last visited Oct. 26, 2006).

\(^{22}\) Bowman et al., supra note 19, at CRS-9.
typical disaster relief tasks (such as search and rescue, clearing roads, delivering supplies, and providing medical assistance), but may not perform law enforcement functions unless pursuant to a PCA exception.\(^2\)

On April 17, 2002, President Bush authorized the establishment of the United States Northern Command ("NORTHCOM") to provide command and control of Department of Defense ("DOD") defense efforts and to coordinate defense support of civil authorities within the United States.\(^3\)

NORTHCOM’s assigned area of responsibility “includes air, land, and sea approaches and encompasses the continental United States, Alaska, Canada, Mexico and the surrounding water out to approximately 500 nautical miles.”\(^4\) NORTHCOM assumed its official responsibilities on October 1, 2002.\(^5\) The creation of NORTHCOM was the first time since the Civil War that the United States Armed Forces had operational command for domestic purposes.\(^6\)

If federal troops are deployed domestically, NORTHCOM has operational authority.\(^7\) NORTHCOM will designate a Defense Coordinating Officer ("DCO") to the incident area to serve as the DOD point of contact for other federal agencies and state and local authorities.\(^8\) If necessary, NORTHCOM will establish a Joint Task Force to coordinate the response of participating branches of the military.\(^9\) As DOD assistance is usually only required during emergencies that exceed the capabilities of local, state, and federal agencies, once the lead agency (typically the Federal Emergency Management Agency ("FEMA")\(^10\)) can again assume full control and management of the incident, NORTHCOM is required to relinquish operational control.\(^11\)

III. Hurricane Katrina and the Absence of Government

It is now universally recognized that Hurricane Katrina was an unprecedented disaster that virtually destroyed a major U.S. city. During the days following its landfall, chaos reigned in the Gulf Coast region, particularly in New Orleans. In Louisiana, the state and local governments were incapable of acting in areas affected by the hurricane, and desperation grew as the...

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\(^2\) Id.
at CRS-7 n.21, CRS-9; see U.S. Northern Command, supra note 21.
\(^4\) Northcom.mil, About Us, supra note 24.
\(^5\) Id.
\(^6\) Id.
\(^9\) Bowman et al., supra note 19, at CRS-3.
\(^10\) Id.
\(^11\) Id.
\(^12\) Northcom.mil, About Us, supra note 24.
public sector "seemed unable to meet its basic compact with its citizens." Basic civil services were nil: "the power was out, the roads were un
avigable, communication was [all but] nonexistent," fires burned untended, and rescue efforts were "a fugue of improvisation." In short, the sheer magnitude of the catastrophe effectively shut down the state and local governments.

Hurricane Katrina impacted almost 93,000 square miles across 138 parishes and counties. Estimates of the property damage caused by Katrina are approaching the $100 billion mark, which makes it the costliest disaster in U.S. history. The official death toll is 1,697. In addition to the fatalities, about 770,000 people were displaced from their homes.

Even a year after landfall, most public schools are still shuttered, hospitals are crippled, the court system is dysfunctional, and power outages are so frequent that they are deemed a non-event.

Commerce was affected throughout the nation as a result of the hurricane. In its immediate aftermath, the destruction sent thousands of victims across state borders in search of food and shelter and required delivery of relief workers and supplies from across the nation. Major national industries were closed or their operations dramatically reduced. Katrina severely impaired substantial portions of the country's oil refineries and curtailed offshore production of oil and gas. As a result, the nation experienced a sharp and immediate spike in gasoline prices.

34. Glasser & Grunwald, supra note 33.
35. Id.
36. James Janega & Angela Rozas, Progress, with Limits; Death, Disease Still a Threat As Downtown Clears, CHI. TRIB., Sept. 4, 2006, at 1.
37. Glasser & Grunwald, supra note 33.
38. TOWNSEND, supra note 3, at 5.
39. Id. at 5-7.
41. Id.
43. See James Dao, Off the Map; No Fixed Address, N.Y. TIMES, Sept. 11, 2005, at A1 (discussing "resettling evacuees" from the Gulf Coast who fled to other states after Katrina); Kirk Johnson et al., President Visits As New Orleans Sees Some Gains, N.Y. TIMES, Sept. 12, 2005, at A1 (describing the extent of relief efforts from all over the nation); Robert D. McFadden & Ralph Blumenthal, Bush Sees Long Recovery for New Orleans; 30,000 Troops in Largest U.S. Relief Effort, N.Y. TIMES, Sept. 1, 2005, at A1 (illustrating evacuation attempts for the city of New Orleans as well as Mayor C. Ray Nagin's fear that the hurricane might have killed thousands in his city).
44. See Prices for Energy Futures Soar in the Wake of Hurricane Katrina, N.Y. TIMES, Aug. 31, 2005, at C2, C4 ("Economists warned that Katrina was likely to leave a deeper mark on the national economy than previous hurricanes because of its profound disruption to the Gulf of Mexico's complex energy supply network . . . . The airline industry felt the delayed brunt of Hurricane Katrina, with some airports running low on jet fuel and carriers canceling hundreds more flights.").
46. Some states reached higher gas prices than they had ever experienced pre-Katrina. See Associated Press, Gasoline Pricing Violations, N.Y. TIMES, Sept. 11, 2005, at 14NJ-6 ("New Jersey's gasoline prices hit their highest levels ever on Labor Day, averaging $3.16 a gallon for regular . . . .");
In the absence of a state and local governmental presence, lawlessness consumed the city of New Orleans. It was widely reported that:

"Looting was widespread, sometimes in full view of outnumbered police and often unarmed [Louisiana] National Guard troops;"47

"A hospital crew in the midst of moving a patient was fired on by a sniper, and the police chief said rapes were reported in the Convention Center, where some officers were beaten by an angry crowd;"48

"[R]eports of carjackings, shootings, lootings and rapes reached authorities, who admitted that much of New Orle-

"[R]eports of carjackings, shootings, lootings and rapes reached authorities, who admitted that much of New Orle-

ans ha[d] slipped from their control;"49 and

"The police themselves may have helped trigger the lawlessness, as reports that some of their own had engaged in loot-

This lawlessness contributed to the sub-human conditions experienced at the New Orleans Superdome and Convention Center. The following include some descriptions of the havoc within these structures in which refu-

gees were forced to seek shelter during Katrina: "horrible prison;" "the darkest hole in the world;" "the place I want to forget;" and "hell."

The Superdome had been designated by New Orleans as "a shelter of last re-

sort, never meant to hold storm refugees for long." Nonetheless, it housed about 20,000 people between August 29 and September 4, 2005.52 Even having designated the Superdome as a shelter of last resort, neither the State of Louisiana nor the city had plans to stock the facility with food and water.53 FEMA provided the facility with 90,000 liters of water and

Mouawad, Storm Stretches Refiners Past a Perilous Point, N.Y. TIMES, Sept. 11, 2005, at 27 (“The hurricane also knocked off a dozen refineries at the peak of summer demand, sending oil prices higher and gasoline prices to inflation-adjusted records.”); Mouawad & Romero, supra note 45, at A1 (“While gasoline averaged $2.60 a gallon earlier in the week [of Aug. 29 to Sept. 2], unleaded regular gas was selling [on Aug. 31] at $3.09 at stations in West Palm Beach, Fla.; $3.49 in Indianapolis; and $3.25 in San Francisco. Premium fuel was going for up to $3.89 a gallon in Chicago.”).

47. See Glasser & Grunwald, supra note 33.

48. Douglas Birch et al., Ruined City Turns Violent; Thousands of Guard Troops Try to Restore Order; In New Orleans, Looting in Streets, Rapes at Shelter and Bodies on Sidewalks; Katrina’s Wake, BALT. SUN, Sept. 2, 2005, at 1A.


52. Id.


54. Salopek & Horan, supra note 51.
43,776 military meals, but these rations were inadequate and lines for food were two hours long while supplies lasted.\textsuperscript{55} The desperation and violence that occurred at the Superdome was aggravated by horrendous conditions.\textsuperscript{56} Lost power meant no air conditioning and backed up toilets.\textsuperscript{57} The stench was so bad that medical workers wore masks, and thousands of retching people had to be moved outside the dome.\textsuperscript{58}

One advantage that refugees at the Superdome enjoyed, however, was that persons entering that facility had been searched for weapons.\textsuperscript{59} Such precautions were not taken at the Ernest N. Morial Convention Center.\textsuperscript{60} Consequently, violence at the Convention Center exceeded even that at the Superdome.\textsuperscript{61} The Convention Center was never intended to hold refugees even as a last resort.\textsuperscript{62} Yet, that structure held 15,000 people during those fateful days.\textsuperscript{63} The situation at the Convention Center (also without power and swelteringly hot) was described by Captain Winn, the head of the police SWAT team, as "completely lawless."\textsuperscript{64} Gunfire was routine.\textsuperscript{65} There were several reports of women being dragged off by groups of men and gang-raped.\textsuperscript{66} Captain Winn found a corpse with multiple stab wounds.\textsuperscript{67} The beleaguered eighty to ninety New Orleans police officers, already at a severe disadvantage of numbers, could only rush into the darkness with flashlights after seeing muzzle flashes.\textsuperscript{68} Even when culprits were caught, no temporary holding cells had been set up to hold them.\textsuperscript{69}

In summary, Katrina was a catastrophe of such magnitude that state and local resources were completely overwhelmed and the respective governmental institutions were diminished to the point of nonexistence.

IV. The Military Response to Hurricane Katrina

As early as August 19, the Secretary of Defense delegated authority to deploy DOD assets to NORTHCOM in anticipation of the hurricane's arrival on the Florida Atlantic coast.\textsuperscript{70} On August 24, NORTHCOM Operations Directorate began conducting teleconferences among entities such as FEMA, the First and Fifth Armies (the U.S. Army forces east and west
of the Mississippi River, respectively), and the supporting commands of the Navy, Marine Corps, and Air Force. On August 30, the day after Katrina made landfall, the Deputy Secretary of Defense informed NORTHCOM's Commander that he had a "blank check" for DOD resources he believed were necessary for the response effort.

The evening of Monday, August 29 (the day of Katrina's landfall in Louisiana), Governor Kathleen Babineaux Blanco made her now infamous plea for President Bush to send "everything you have got." Over the next two days, Governor Blanco refined her request by asking for troops from the President at least two more times, once asking for 40,000 federal troops. President Bush promised 7200 federal troops on Saturday, five days after landfall. Although Governor Blanco "wouldn't have turned down federal troops," she did not want a federal takeover of the disaster relief effort. She wished to maintain primary reliance on National Guard troops while using federal troops under Louisiana control for traditional disaster relief tasks that do not amount to law enforcement. Yet, given the state of chaos in the Gulf Coast region, Pentagon and military officials were hesitant to send in federal troops under Governor Blanco's control—especially if those troops did not have law enforcement authority.

Both President Bush and White House Chief of Staff Andy Card pressed Governor Blanco to request a federal takeover of the relief effort so that federal troops could be deployed to restore law and order. Governor Blanco, concerned that it was tantamount to a federal declaration of martial law, balked at the suggestion. The Bush administration then sent Governor Blanco a proposed legal memorandum asking her to request a federal takeover, which she rejected. She also rejected a more modest proposal for a hybrid command structure under which a three-star general who had been sworn into the Louisiana National Guard would command all troops—both state and federalized National Guard and armed services troops.

These appeasing measures at that stage of crisis were thought to be necessary because the Bush administration then believed that the PCA barred deployment of troops to restore order. The investigation into the legality of invoking the Insurrection Act, an exception to the PCA that

71. Id. at 26-15.
72. Id. at 26-12.
73. Id. at 26-30.
74. Id. at 26-46.
75. Id.
76. Glasser & Grunwald, supra note 33.
77. S. COMM. ON HOMELAND SEC., supra note 70, at 26-48; see supra note 23 and accompanying text for a list of typical disaster relief tasks that do not amount to law enforcement.
78. Lipton et al., supra note 6.
79. Glasser & Grunwald, supra note 33.
81. Roig-Franzia & Hsu, supra note 80.
82. Lipton et al., supra note 6.
would allow federal troops to enforce civil law, led to "a flurry of meetings at the Justice Department, the White House and other agencies" and erupted into "a fierce debate." The White House instructed the Justice Department's Office of Legal Counsel ("OLC") to resolve the issue. The OLC finally "concluded the federal government had authority to move in even over the objection of local officials." This confusion over the scope of the PCA reigned despite the fact that in December 2004, thirty-two federal officials, under the leadership of the Department of Homeland Security ("DHS"), promulgated the NRP designed to provide federally directed coordination of responses to natural and manmade disasters amounting to "Incidents of National Significance." The NRP expressly provides that when facing "imminently serious conditions," the military may be called upon to take any and all action necessary "to save lives, prevent human suffering, or mitigate property damage." Neither the White House, DHS, nor the remaining thirty-one agencies who signed on to the NRP realized in late August and early September 2005 that, as of December 2004, the federal government was on record as authorizing the kind of federal leadership that was so disastrously delayed for five days after landfall.

Even after OLC authorized, inter alia, the sending in of federal troops, the leadership of the Justice Department and DOD urged President Bush not to take command of the relief effort due to fears that Governor Blanco would refuse surrendering control, thus causing a political backlash. One senior administration official, speaking anonymously, questioned:

Can you imagine how it would have been perceived if a president of the United States of one party had pre-emptively taken from the female governor of another party the command and control of her forces, unless the security situation made it completely clear that she was unable to effectively execute her command authority and that lawlessness was the inevitable result?

Ultimately (but belatedly), on "September 7, DOD assets in the affected area included 42,990 National Guard personnel, 17,417 active duty personnel, 20 U.S. ships, 360 helicopters, and 93 fixed wing aircraft." A week and a half after the hurricane made landfall, 50,000 National Guard troops and 22,000 active duty troops were on the ground in the Gulf Coast

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83. *Id.*
84. Glasser & Grunwald, *supra* note 33.
85. Lipton et al., *supra* note 6.
86. See U.S. *Dep’t of Homeland Sec.*, *supra* note 12, at v–viii, 4.
87. Lipton et al., *supra* note 6.
88. *Id.*
89. Bowman et al., *supra* note 19, at CRS-6.
region, constituting the largest deployment of troops within the United States since the Civil War.\textsuperscript{90}

On September 15, 2005, in his speech at Jackson Square in New Orleans, President Bush stated: "It is now clear that a challenge on this scale requires greater federal authority and a broader role for the armed forces . . . ."\textsuperscript{91} Shortly thereafter, on October 19, 2005, Governors Michael Huckabee (R-Ark.) and Janet Napolitano (D-Ariz.), then Chair and Vice Chair of the National Governors Association, respectively, directly contradicted President Bush's sentiment, stating: "State and local governments are in the best position to prepare for, respond to, and recover from disaster and emergency."\textsuperscript{92}

V. Catastrophic Natural Disasters Warrant Federal Troop Deployment

Even before the clarifying language within the DAA of 2007 was enacted on October 17, 2006, there was an abundance of authority (as the NRP so clearly stated in December 2004) that, when confronted with overwhelmed state and local entities, in a time of disaster of nationwide consequence, the federal government may deploy federal troops to lead the response to the incident even in the face of state and local opposition.\textsuperscript{93} In so stating, it bears repeating that, when, as is usually true, the state and local governments are capable of mounting a response and maintaining law and order, the latter institutions retain governmental leadership of a catastrophic response.\textsuperscript{94} In such instances, the federal government, where properly requested, should supplement, not take over, the state and local command structure. As the NRP repeatedly notes, the lowest level of government that can handle a disaster should retain leadership over the response.\textsuperscript{95} Given the enormity of Katrina, however, the only level of government with the assets to handle the incident was the federal government, acting through, inter alia, military deployments. Katrina and disasters of that magnitude present "security situation[s that] ma[k]e it completely clear that [states are] unable to effectively execute . . . command authority and that lawlessness [is] the inevitable result."\textsuperscript{96} Thus, as is shown below, even before the DAA of 2007, and now certainly after it, the

\begin{thebibliography}{99}
\bibitem{90} S. Comm. on Homeland Sec., supra note 70, at 26–11.
\bibitem{93} U.S. Dep't of Homeland Sec., supra note 12.
\bibitem{95} U.S. Dep't of Homeland Sec., supra note 12.
\bibitem{96} Lipton et al., supra note 6.
\end{thebibliography}
President has the power to federalize a relief effort by deploying federal troops.

VI. EXCEPTIONS TO THE PCA

Despite the seemingly clear expression of congressional intent, the PCA has been “riddled with uncertainty and complexity.” Much of this uncertainty concerns the PCA’s exceptions, which authorize federal troop deployment through the Constitution or federal statute. Confusion arises often as to which exceptions apply, when they apply, and what their scope is. This legal uncertainty was most prominent during Katrina as the Bush administration scrambled to determine whether the President could federalize the response effort, especially through deployment of federal troops, even in the face of state opposition. This Article discusses two critically important PCA exceptions—the Insurrection Act and the Homeland Security Act of 2002—as well as their constitutional underpinnings.

A. The Insurrection Act

Even prior to the recent clarifying amendment within the DAA of 2007, the Insurrection Act permitted the use of the federal military (including the armed forces and federalized National Guard—collectively referred to hereinafter as “federal troops”) to enforce civilian laws in response to insurrections and similar types of civil disturbance.

For example, in 1992, President George H.W. Bush used federal troops to quell the Los Angeles riots upon the request of California’s governor pursuant to the Insurrection Act’s first provision, which states:

Whenever there is an insurrection in any State against its government, the President may, upon the request of its legislature or of its governor if the legislature cannot be convened, call into Federal service such of the militia of the other States, in the number requested by that State, and use such of the armed forces, as he considers necessary to suppress the insurrection.

97. Dermaine & Rosen, supra note 17, at 170.
98. In addition to constitutional exceptions to the PCA, one commentator has identified at least twenty-six statutory exceptions to the PCA. Charles Doyle, The Posse Comitatus Act and Related Matters: The Use of the Military to Execute Civilian Law, Cong. Research Service, CRS Report 95-964 S, at CRS-21 n.48 (June 1, 2000), available at http://www.fas.org/sgp/crs/natsec/95-964.pdf.
100. See supra notes 83-85 and accompanying text.
101. See, e.g., Dermaine & Rosen, supra note 17, at 193-94.
While this provision of the Insurrection Act requires the request of a governor or state legislature, the next two provisions of that statute do not. For example, section 332 states:

Whenever the President considers that unlawful obstructions, combinations, or assemblages, or rebellion against the authority of the United States, make it impracticable to enforce the laws of the United States in any State by the ordinary course of judicial proceedings, he may call into Federal service such of the militia of any State, and use such of the armed forces, as he considers necessary to enforce those laws or to suppress the rebellion.  

Thus, section 332 permits the President to decide unilaterally to deploy federal troops, even in the absence of state request, to restore the ability to enforce federal law. Under an early version of this provision, President Washington, in 1794, used the military to suppress the Whiskey Rebellion to enforce a federal excise tax on liquor.

Moreover, before the recent amendment, section 333 of the Insurrection Act provided:

The President, by using the militia or the armed forces, or both, or by any other means, shall take such measures as he considers necessary to suppress, in a State, any insurrection, domestic violence, unlawful combination, or conspiracy, if it—(1) so hinders the execution of the laws of that State, and of the United States within the State, that any part or class of its people is deprived of a right, privilege, immunity, or protection named in the Constitution and secured by law, and the constituted authorities of that State are unable, fail, or refuse to protect that right, privilege, or immunity, or to give that protection; or(2) opposes or obstructs the execution of the laws of the United States or impedes the course of justice under those laws.

This provision therefore allows the President to use federal troops, even in the absence of state requests, to ensure citizens are provided with the protections of constitutional or statutory law when the "constituted authorities of that State are unable" to enforce state and/or federal law. Under this provision, in 1957 and 1963, Presidents Eisenhower and Kennedy, respectively, unilaterally sent troops into southern states to enforce constitutionally protected civil rights through desegregation.

107. Lemann, supra note 102.
Therefore, even before its recent amendment by the DAA of 2007, sections 332 and/or 333 of the Insurrection Act were deemed to be important exceptions to the PCA, since they permit the President to use federal troops to restore law and order when state governments are not able to do so.

B. The Homeland Security Act of 2002

The Homeland Security Act of 2002 ("HSA")\(^{108}\) was signed into law on November 25, 2002.\(^{109}\) This sweeping legislation created DHS, whose duties were to "analyze threats, [ ] guard our borders and airports, protect our critical infrastructure, and coordinate the response of our nation for future emergencies."\(^{110}\) Under Title V of the HSA, entitled "Emergency Preparedness and Response," the Act broadly defines the roles of the Secretary of Homeland Security, "acting through the Under Secretary [of Homeland Security] for Emergency Preparedness and Response."\(^{111}\)

[The role] include[s] [ ] helping to ensure the effectiveness of emergency response providers to terrorist attacks, major disasters, and other emergencies; . . . [managing] the Federal Government's response to terrorist attacks and major disasters; . . . aiding the recovery from terrorist attacks and major disasters; [ ] building a comprehensive national incident management system with Federal, State, and local government personnel, agencies, and authorities, to respond to such attacks and disasters; [and] [ ] consolidating existing Federal government emergency response plans into a single, coordinated national response plan . . . .\(^{112}\)

In response to the HSA, the President issued Homeland Security Presidential Directive 5 ("HSPD-5"),\(^{113}\) assigning the DHS Secretary the responsibility of developing a National Incident Management System ("NIMS") to provide a "nationwide approach for Federal, State, and local governments to work effectively and efficiently together to prepare for, respond to and recover from domestic incidents, regardless of cause, size, or complexity."\(^{114}\) HSPD-5 also implemented HSA's mandate that "a

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110. Id.
112. Id. § 312(1), (3)(A), (4)–(6) (2006).
114. Id. at (15).
coordinated national response plan,” i.e., the NRP, be developed to “inte-
gegrate Federal Government domestic prevention, preparedness, response,
and recovery plans into one all-discipline, all-hazards plan.”\textsuperscript{115}

Under the authority vested in the HSA and HSPD-5, the NRP\textsuperscript{116} com-
mits every signatory to it, including (but not limited to) each member of the
federal executive cabinet, to “[s]upport[] NRP concepts, processes, and
structures and carrying out their assigned functional responsibilities to en-
sure effective and efficient incident management . . . .”\textsuperscript{117}

The NRP is activated when the DHS Secretary declares an incident to
be an “Incident of National Significance.”\textsuperscript{118} It further defines “cata-
strophic events” as the most severe Incidents of National Significance:

A catastrophic event is [an] . . . incident . . . that results in
extraordinary levels of mass casualties, damage or disrup-
tion severely affecting the population, infrastructure, envi-
ronment, economy, national morale, and/or government
functions . . . result[ing] in sustained national impacts over a
prolonged period of time; almost immediately exceeding
resources normally available to State, local, tribal and pri-
ivate-sector authorities in the impacted area; and significantly
interrupts governmental operations and emergency services
to such an extent that national security could be threatened
. . . .\textsuperscript{119}

In an event that “exceeds resources normally available to State [and]
local . . . authorities,” “[t]he primary mission is to save lives; protect critical
infrastructure, property, and the environment; contain the event; and pre-
serve national security.”\textsuperscript{120} In addition, “[s]tandard procedures regarding
requests for assistance may be expedited or, under extreme circumstances,
suspended in the immediate aftermath of an event of catastrophic magni-
tude,”\textsuperscript{121} and any “coordination process[es] must not delay or impede the
rapid deployment and use of critical resources.”\textsuperscript{122} Recognizing that the
NRP, as derived from the HSA and HSPD-5, mandates expedited and ef-
efective response to a catastrophic event causing massive loss of life and
destruction to property, accompanied by a breakdown of state and local
government, it unsurprisingly and expressly states that DOD should “take
necessary action to respond to requests of civil authorities consistent with
the [PCA].”\textsuperscript{123}

\begin{thebibliography}{9}
\bibitem{115} Id. at (16).
\bibitem{116} See U.S. DEP'T OF HOMELAND SEC., \textit{supra} note 12.
\bibitem{117} Id. at iii.
\bibitem{118} Id. at 4.
\bibitem{119} Id. at 43 (emphasis added).
\bibitem{120} Id.
\bibitem{121} Id. at 44.
\bibitem{122} Id.
\bibitem{123} Id. at 43.
\end{thebibliography}
In light of the HSA (and HSPD-5 and the NRP which derive from it), the deep and widespread lawlessness that occurred in New Orleans during Katrina would have justified the President in using the military to aid law enforcement to save lives and contain the event.

C. The Recent Clarifying Amendment to the Insurrection Act

The recent amendment to the Insurrection Act within the DAA of 2007 removes all doubt about the President’s ability to decide unilaterally to use federal troops to respond to a massive disaster such as that experienced as a result of Hurricane Katrina. Following Katrina, there was a series of congressional and White House reports which each made it clear that the President must use federal troops to prevent and respond to natural disasters of this kind. During the DAA of 2007’s consideration, the Senate Committee on Armed Services pointed to “the lack of explicit [statutory] references to such situations as natural disasters or terrorist attacks [that] may have contributed to a reluctance to use the armed forces in situations such as Hurricane Katrina.”\(^\text{124}\) The House Committee on Armed Services similarly noted that there are a number of areas where [DOD] could have improved the execution of military support during Hurricane Katrina.\(^\text{125}\) These congressional sentiments echoed White House concerns expressed in its Lessons Learned, which recommended that, in the future, DHS and DOD “should jointly plan for [DOD’s] support of Federal response activities as well as those extraordinary circumstances when it is appropriate for the [DOD] to lead the Federal response.”\(^\text{126}\)

In response to these broad based concerns, Congress amended the Insurrection Act to make it clear that the President, when he determines during, inter alia, a “natural disaster, epidemic, or other serious public health emergency . . . [that] the constituted authorities of the State . . . are incapable of maintaining public order,” he may “employ the armed forces, including the National Guard in Federal service.”\(^\text{127}\)


\(^{126}\) See Townsend,\(^\text{ supra}\) note 3, at 54–55.


(a) Use of armed forces in major public emergencies.

(1) The President may employ the armed forces, including the National Guard in Federal service, to—

(A) restore public order and enforce the laws of the United States when, as a result of a natural disaster, epidemic, or other serious public health emergency, terrorist attack or incident, or other condition in any State or possession of the United States, the President determines that—

(i) domestic violence has occurred to such an extent that the constituted authorities of the State or possession are incapable of maintaining public order; and

(ii) such violence results in a condition described in paragraph (2); or

(B) suppress, in a State, any insurrection, domestic violence, unlawful combination, or conspiracy if such insurrection, violation, combination, or conspiracy results in a condition described in paragraph (2).

(2) A condition described in this paragraph is a condition that—
VII. Federal Versus State Power

All fifty state governors opposed the DAA of 2007’s amendment to the Insurrection Act. In August 2006, the National Governors Association, led by its Chair, Janet Napolitano (D-Ariz.), sent a series of letters to lawmakers and to Secretary of Defense Rumsfeld asking for removal of the “federalization” provision from the DAA of 2007. Governor Napolitano argued that the congressional “proposals represent[ ] a dramatic expansion of Federal authority during natural disasters that could cause confusion in the command-and-control of the National Guard and interfere with States’ ability to respond to natural disasters within their borders.” Governor Mike Huckabee (R-Ark.) complained that the “provision was drafted without consultation or input from governors and represents an unprecedented shift in authority from governors as Commanders and [sic] Chief of the Guard to the Federal government.” Senator Patrick Leahy (D-Vt.) voiced congressional concerns supporting the governors’ position by arguing that “[w]e can deal with a range of situations at home if the people and resources of the National Guard remain regularly under the control of the officials who are closest to managing these situations.”

However, this criticism overlooks the principal controlling caveat within the amendment. It is not triggered until the President makes a finding, as clearly could have been made in Katrina, that the states are “unable” to respond to the disaster. As has been historically true, even serious natural disasters will stay within the control of the states when they maintain the ability to sustain or restore order. This is reflected in the default rule within the NRP, i.e., that disasters should be dealt with at the lowest level of government possible. Stated most pointedly, this measure does

(A) so hinders the execution of the laws of a State or possession, as applicable, and of the United States within that State or possession, that any part or class of its people is deprived of a right, privilege, immunity, or protection named in the Constitution and secured by law, and the constituted authorities of that State or possession are unable, fail, or refuse to protect that right, privilege, or immunity, or to give that protection; or

(B) opposes or obstructs the execution of the laws of the United States or impedes the course of justice under those laws.

(3) In any situation covered by paragraph (1)(B), the state shall be considered to have denied the equal protection of the laws secured by the Constitution.

(b) Notice to Congress. The President shall notify Congress of the determination to exercise the authority in subsection (a)(1)(A) as soon as practicable after the determination and every 14 days thereafter during the duration of the exercise of that authority.

128. Letter from Mike Huckabee, Ark. Governor et al., to Bill First [sic], U.S. Senate Majority Leader et al. (Aug. 6, 2006), available at http://www.nga.org/portal/site/nga/menuitem.cb6e7818b34088d18a278110501010a0?vgnextoid=1fbb60a812fcd010VgnVCM100001a01010aRCRD; Jennifer Steinshauer, Governors Resist Authority over Guard, N.Y. TIMES, Aug. 15, 2006, at A14.

129. Governors Association, supra note 9; Napolitano, supra note 9.

130. Letter from Janet Napolitano, Ariz. Governor et al., to Bill Frist, U.S. Senate Majority Leader et al. (Aug. 31, 2006), available at http://www.nga.org/portal/site/nga/menuitem.cb6e7818b34088d18a278110501010a0?vgnextoid=0a05e362c5f5d010VgnVCM100001a01010aRCRD; see also Napolitano, supra note 9.

131. Letter from Mike Huckabee to Bill First [sic], supra note 128; see also Napolitano, supra note 9.


133. Indeed, the NRP “contemplates a coordinated, real time response with the states and localities working together with the federal government, deploying federal assets as a supplement to state
not interfere with state sovereignty because it is only trigged when there is no sovereignty within the state.

Moreover, the Constitution clearly authorizes Congress to maintain order during a catastrophe of national significance when the states are incapable of doing so. Three constitutional provisions provide Congress with this authority: the Insurrection Clause, the Republican Form of Government Clause, and the Commerce Clause.

VIII. THE INSURRECTION CLAUSE

The Insurrection Clause affords Congress the power "[t]o provide for calling forth the Militia to execute the Laws of the Union, suppress Insurrections and repel Invasions." It is the source of authority for the Insurrection Act and that statute's recent amendment within the DAA of 2007. As noted above, the Insurrection Act, even prior to the recent amendment, was employed to suppress the Whiskey Rebellion, to enforce desegregation orders in the South, and, most recently, to quell the Rodney King riots in Los Angeles. In each of these situations, either the affected state recognized that it was incapable of maintaining order or the President unilaterally determined that was the case and federal troops were used to restore order. As discussed above, Katrina clearly invited use of the Insurrection Act insofar as neither the state nor local governments were able to protect even the most basic civil rights of the residents of New Orleans. Even prior to the passage of the DAA of 2007, and even in cases where the states have not invited federal intervention, there has never been a serious argument advanced that it is unconstitutional to use federal troops when the states and localities are incapable of enforcing law and maintaining order.

IX. THE GUARANTEE OF A REPUBLICAN FORM OF GOVERNMENT CLAUSE

The complete breakdown of orderly governmental services within New Orleans also triggered the constitutional guarantees provided in the Republican Form of Government Clause, which provides: "The United States shall guarantee to every State in this Union a Republican Form of Government, and shall protect each of them against Invasion; and on Application of the Legislature, or of the Executive (when the Legislature cannot be convened) against domestic Violence." Faced with lawlessness and disorder in the immediate wake of Katrina, there was not only a failure
of Louisiana and New Orleans to provide a "republican form of government" to the citizens of New Orleans, but there was a failure to provide any form of government. Any effort by the President to intervene to restore order and governmental services to New Orleans and to end the havoc and chaos experienced there would have been fully justified by this constitutional responsibility assigned to the federal government. This constitutional provision would have been an additional basis fully supporting the unilateral decision to introduce federal troops in the face of the inability to act by the state and the city. Again, it is self-evident that federal actions necessary to preserve a "republican form of government" cannot be impeded by a claim of state and/or local sovereignty when the state and locality cannot act to limit the kind of widespread suffering Katrina imposed.

X. THE COMMERCE CLAUSE

Finally, as highlighted above, Katrina also imposed a substantial adverse impact on interstate commerce throughout the nation. Thousands crossed state lines in search of refuge through choked lines of egress. Goods and services necessary for survival and safety were brought into the region either inconsistently and in a disorganized manner or not at all. On a nationwide basis, industrial services and manufacturing were reduced or terminated. The price of commodities soared throughout the nation—most noticeably the price of gasoline.

The Commerce Clause provides that Congress has the power "[t]o regulate Commerce with foreign Nations, and among the several States, and with the Indian Tribes." To the extent the Insurrection Act affords the President the right to unilaterally insert federal troops to restore order within an area devastated by a catastrophic event, that action can also be justified as appropriate under the Commerce Clause, as it almost certainly mitigates the adverse impact on interstate commerce. To that extent, it is clear the Insurrection Act would be used to "[r]egulate those activities having a substantial relation to interstate commerce, . . . i.e., those activities that substantially affect interstate commerce."

While some have argued that recent Commerce Clause jurisprudence substantially limits congressional intrusion on the states' constitutional police powers, even the Commerce Clause tests enunciated therein would support the use of the Insurrection Act to deal with incidents such as

139. See supra notes 43–46 and accompanying text.
140. Dao, supra note 43.
141. Lipton et al., supra note 53.
142. U.S. Const art. I, § 8, cl. 3.
Katrina. However, all doubts about the scope of the Commerce Clause in confronting a federal response to a public health crisis have been removed by the recent case of Gonzales v. Raich. That case strongly suggests that such events will almost always be deemed to "substantially affect interstate commerce" and, thus, subject to federal regulation.

In Raich, the Supreme Court ruled that Congress, through the Controlled Substances Act, could regulate entirely intrastate commerce in marijuana and preempt state legislation supporting such commerce, because the production in question affected interstate commerce by endangering the nation's public health. As discussed above, both in the immediate and extended aftermath of Hurricane Katrina, interstate commerce was dramatically imperiled. Considering Raich's confirmation of federal authority over state regulation of even purely local economic activities if they have a substantial effect on interstate commerce, any major catastrophic incident is likely to be considered a proper use by Congress of its commerce powers, as such incidents, like Katrina, will almost always substantially affect interstate commerce. Indeed, it would be a high irony if a single governor, unable to mount an effective governmental response, could simultaneously defeat the federal government's attempt to mitigate a disaster's highly damaging commercial impact on the entire nation.

In short, just as the Justice Department's OLC concluded during Katrina, the President did have the authority to federalize the response effort at the time by using federal troops. With the force of the Constitution's Insurrection Clause, Republican Form of Government Clause, and Commerce Clause, the President could have invoked the second or third clauses of the Insurrection Act as exceptions to the PCA. Unfortunately, confusion and politics stayed the President's hand in using this power when it was needed during Katrina. The recent amendment to the Insurrection Act provides clarification so that neither confusion nor politics need do so in the future.

XI. Conclusion

In summary, the DAA of 2007's amendment to the Insurrection Act creates a bright line for determining the appropriate use of federal troops during major domestic natural disasters. The amendment clearly provides that, under extreme circumstances when state and local governments are

145. See supra note 143 and accompanying text.
146. 545 U.S. 1 (2005).
148. Raich, 545 U.S. at 15.
149. See supra notes 43–46 and accompanying text.
151. See supra note 85 and accompanying text.
152. See supra notes 87–88 and accompanying text.
overwhelmed by response efforts to a major catastrophic event such as a natural disaster, the federal government may use federal troops to restore public order. Although this power was widely recognized to predate the amendment, the confusion surrounding the legality of its use resulted in delay and inaction during Hurricane Katrina that may have cost many lives and imposed great suffering on those who survived. The amendment neither adds to the President's power, nor detracts from the sovereignty of the states. It merely ensures that should an event like Katrina recur, the uncertainty surrounding these powers will not cause similar delay and/or inaction.